

## DAU 9-1 DAU research intellectual policy

Policy Code	Policy Name		
DAU 9-1	DAU research intellectual policy		
Responsible Executive	Current Revision Date	Next Revision Date	
- General Directorate of Scientific Research and Innovation - Scientific Research & Innovation Committee (SR&CI) in the colleges	10/2023	10/2027	

### 1. Policy Purpose

This policy provides guidance on the practice of intellectual property, its ownership, exploitation, and specific related procedures adopted with DAU.

### 2. Policy Scope

This policy is for all DAU academic staff who are authorized to conduct scientific research and also for Undergraduate and Postgraduate students.

### 3. Policy Statement

DAU distinguishes that Intellectual property rights have a significant role to provide new scientific and Technological information from DAU to the External community in Saudi Arabia.

**Intellectual Property:** Intellectual Property means intangible rights to all new search of ideas, discoveries, knowledge, know how, methods, processes and other innovative or creative work. Intellectual property rights are safeguarded by various laws, codes, and treaties.

### 4. Policy Procedure

*Ownership and Funding:* All proposals of intellectual property by DAU faculty members, students and any associates must be approved in advance by DAU Scientific Council. The proposal must be written and include the details of various aspects of research. All research work should be recognized for community benefits.

Intellectual Property generated within DAU will be owned by DAU unless an exceptional agreement has occurred if an external fund for the intellectual property/ research proposal is maintained. DAU has external contracts where the university may provide financial support. These commitments and contracts must be clearly recognized.

- *Research Intellectual Property Agreements:*  
All individuals subject to this research intellectual property policy, including but not limited to faculty staff, students, and postdoctoral students, must sign DAU intellectual property and confidentiality agreement prior to conducting any research on behalf of DAU University, whether under a sponsored research agreement or with the significant use of funds or facilities managed by DAU "Intellectual Property Agreement". By signing the Intellectual Property Agreement, these individuals agree to promptly execute and deliver all their research/intellectual property documents.
- *Disclosure of intellectual property* made by the members of DAU Community is a mandatory requirement which must be disclosed to the Head of the Scientific Council. The disclosure must be made immediately with all relevant information's mentioned by the researchers.
- *Declarations of documents:* The set of declaration documents mandatory filled by the researchers to ensure certifying for their intellectual property research work and its authenticity.

- **Commercialization & copy right:** DAU will have the “first right of refusal” on commercialization of any specific IP created through DAU research projects. Any researcher in DAU community should consult the Scientific Council and get prior approval for commercialization before submitting for publication. The disclosure ensures that:
  - No patents rights are compromised.
  - It is authentic and genuine work not only for the purpose of commercialization.
  - It does not contain any issues regarding copyright.
- **Disputes:** All potential disputes related to intellectual property ownership and any other issue or concern related to Intellectual property shall be reported and resolved under the Scientific Council Supervision.
- **Exceptions:** The Scientific Council and the University Council of DAU headed by the President have the right to take decisions based on any external circumstances/situations not stated in this policy.

## 5. Related Policies/ Documents/ Forms

DAU 10-3 DAU's Research Ethics

## 6. Document History

Version	Issue/ Rev. Date	Updated Information/ Summary of Changes
1	11/2018	1 <sup>st</sup> issue of the policy
2	10/2023	<ul style="list-style-type: none"> <li>• Policy Purpose and Scope are modified and added.</li> <li>• comprehensive change in the policy procedure.</li> </ul>