



## Course Specifications

<b>Course Title:</b>	Financial Markets & Institutions
<b>Course Code:</b>	FIN1312
<b>Program:</b>	English
<b>Department:</b>	FINANCE & BANKING
<b>College:</b>	College of Business Administration
<b>Institution:</b>	Dar Al Uloom University

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## A. Course Identification

<b>1. Credit hours:</b>
<b>2. Course type</b>
a. University <input type="checkbox"/> College <input type="checkbox"/> Department <input checked="" type="checkbox"/> Others <input type="checkbox"/>
b. Required <input checked="" type="checkbox"/> Elective <input type="checkbox"/>
<b>3. Level/year at which this course is offered: Fifth / Third Year</b>
<b>4. Pre-requisites for this course (if any): FIN1221</b>
<b>5. Co-requisites for this course (if any): NIL</b>

### 6. Mode of Instruction (mark all that apply)

No	Mode of Instruction	Contact Hours	Percentage
1	Traditional classroom	45	%100
2	Blended		
3	E-learning		
4	Distance learning		
5	Other		

### 7. Contact Hours (based on academic semester)

No	Activity	Contact Hours
1	Lecture	45
2	Laboratory/Studio	
3	Tutorial	
4	Others (specify)	
	<b>Total</b>	45

## B. Course Objectives and Learning Outcomes

### 1. Course Description

This course provides an overview of financial markets, functions, products and management of financial institutions. This course lays down conceptual framework for identifying risk exposures of financial institutions & their management. Other topics covered include overview of financial markets, financial instruments, financial intermediaries and the financial services industry & measuring and managing risks in FI's Saudi Arabia

### 2. Course Main Objective

- To lay groundwork for understanding conceptual framework of financial institutions
- To identify risks faced by FI's and their management
- Understanding impact of economic, political, legal and technological environment on FIs

### 3. Course Learning Outcomes

CLOs		Aligned PLOs
<b>1</b>	<b>Knowledge and Understanding</b>	
1.1	Recall the structure, institutions and functioning of financial institutions	K3
1.2	Describe the nature and functions of financial services intermediaries	K3
1.3	Define the types of risk faced by financial institutions	K3
1.4	List measures to manage risk	K3
<b>2</b>		
2.1	Critically evaluate the financial service industry in the domestic/international markets	S1
2.2	Calculate risk and interpret the degree of risk	S2
2.3	Explain the measures for managing risk in the financial markets.	S2
<b>3</b>	<b>Values:</b>	
3.1	Building constructive business relationships and ability to work in group projects and assignments.	V1
3.2	Demonstrate self-study skills and cognitive curiosity that leads to logical financial decisions supported by evidence and arguments	V2
3.3	Leading teams, effectively and flexibly, seeking excellence and finding constructive solutions to finance and banking societal issues	V3

### C. Course Content

No	List of Topics	Contact Hours
1	Financial Markets	6
2	Securities Market	9
3	Financial Intermediaries	9
4	Measuring Risk	12
5	Managing Risk	9
<b>Total</b>		45

## D. Teaching and Assessment

### 1. Alignment of Course Learning Outcomes with Teaching Strategies and Assessment Methods

Code	Course Learning Outcomes	Teaching Strategies	Assessment Methods
<b>1.0</b>	<b>Knowledge and Understanding</b>		
1.1	Recall the structure, institutions and functioning of financial institutions	<ul style="list-style-type: none"> <li>• DIRECT</li> </ul>	<ul style="list-style-type: none"> <li>• Written Exams</li> <li>• Written Quizzes</li> <li>• Assignments</li> </ul>
1.2	Describe the nature and functions of financial services intermediaries	<ul style="list-style-type: none"> <li>• DIRECT</li> </ul>	<ul style="list-style-type: none"> <li>• Written Exams</li> <li>• Written Quizzes</li> <li>• Assignments</li> </ul>
1.3	Define the types of risk faced by financial institutions	<ul style="list-style-type: none"> <li>• DIRECT</li> </ul>	<ul style="list-style-type: none"> <li>• Written Exams</li> <li>• Written Quizzes</li> <li>• Assignments</li> </ul>
<b>2.0</b>	<b>Skills</b>		
2.1	Critically evaluate the financial service industry in the domestic/international markets	<ul style="list-style-type: none"> <li>• DIRECT</li> <li>• INDIRECT</li> </ul>	<ul style="list-style-type: none"> <li>• Written Exams</li> <li>• Written Quizzes</li> <li>• Assignments</li> <li>• Case study</li> </ul>
2.2	Calculate risk and interpret the degree of risk	<ul style="list-style-type: none"> <li>• DIRECT</li> <li>• INDIRECT</li> </ul>	<ul style="list-style-type: none"> <li>• Written Exams</li> <li>• Written Quizzes</li> <li>• Assignments</li> <li>• Case study</li> </ul>
2.3	Explain the measures for managing risk in the financial markets.	<ul style="list-style-type: none"> <li>• DIRECT</li> <li>• INDIRECT</li> </ul>	<ul style="list-style-type: none"> <li>• Written Exams</li> <li>• Written Quizzes</li> <li>• Assignments</li> <li>• Case study</li> </ul>
<b>3.0</b>	<b>Values</b>		
3.1	Building constructive business relationships and ability to work in group projects and assignments.	<ul style="list-style-type: none"> <li>• INTERACTIVE</li> </ul>	<ul style="list-style-type: none"> <li>• Project</li> <li>• Case study</li> <li>• Activities</li> </ul>
3.2	Demonstrate self-study skills and cognitive curiosity that leads to logical financial decisions supported by evidence and arguments	<ul style="list-style-type: none"> <li>• INTERACTIVE</li> </ul>	<ul style="list-style-type: none"> <li>• Project</li> <li>• Case study</li> <li>• Activities</li> </ul>
3.3	Leading teams, effectively and flexibly, seeking excellence and finding constructive solutions to finance and banking societal issues.	<ul style="list-style-type: none"> <li>• INTERACTIVE</li> </ul>	<ul style="list-style-type: none"> <li>• Project</li> <li>• Case study</li> <li>• Activities</li> </ul>

### 2. Assessment Tasks for Students

#	Assessment task*	Week Due	Percentage of Total Assessment Score
1	Quiz	4 <sup>th</sup> - 5 <sup>th</sup>	10%
2	Case Study/Project / Activities	5 <sup>th</sup> - 10 <sup>th</sup>	20%
3	Mid term	9 <sup>th</sup> - 10 <sup>th</sup>	30%
4	Final Exam	13 <sup>th</sup> -15 <sup>th</sup>	40%

\*Assessment task (i.e., written test, oral test, oral presentation, group project, essay, etc.)

## E. Student Academic Counseling and Support

### Arrangements for availability of faculty and teaching staff for individual student consultations and academic advice:

1. Eight Office hours are scheduled weekly appointments via Student Information System
2. Online discussion through LMS forums as well as Instant Messaging
3. Email (address is available in the course syllabus and on LMS)
4. Mobile calls or SMS for urgent issues

## F. Learning Resources and Facilities

### 1. Learning Resources

<b>Required Textbooks</b>	<ul style="list-style-type: none"> <li>• Sanders, A. and Cornett, M. M. (2014). “<i>Financial Institutions Management -A Risk Management Approach</i>” (8th Edition). McGraw-Hill/Irwin. ISBN: 0078034809</li> </ul>
<b>Essential References Materials</b>	<ul style="list-style-type: none"> <li>• Asia- Pacific Financial Markets – Springer</li> <li>• Journal of Financial Intermediation - Elsevier</li> <li>• Research papers and Market Reports from ARGAM, TADAWUL</li> <li>• SAMA Market Reports</li> <li>• THE ECONOMIST</li> <li>• Frederic S Miskhin, Stanley G. Eakins (2014). “Financial Markets and Institutions” (8<sup>th</sup> edition). Pearson.</li> </ul>
<b>Electronic Materials</b>	<ul style="list-style-type: none"> <li>• Study materials are available through online resources available from the publisher</li> <li>• OPEN UNIVERSITY <a href="http://www.open.edu/openlearn/">www.open.edu/openlearn/</a></li> <li>• MIT COURSE WARE <a href="https://ocw.mit.edu/courses/sloan-school-of-management/">https://ocw.mit.edu/courses/sloan-school-of-management/</a></li> <li>• YAHOO FINANCE <a href="https://finance.yahoo.com/">https://finance.yahoo.com/</a></li> <li>• MSN MONEY <a href="https://www.msn.com/en-sa/money">https://www.msn.com/en-sa/money</a></li> <li>• BLOOMBERG <a href="https://www.bloomberg.com/">https://www.bloomberg.com/..</a></li> </ul>
<b>Other Learning Materials</b>	<ul style="list-style-type: none"> <li>• MS office tools</li> <li>• SAMA , CMA regulatory guidelines on financial markets</li> <li>• TADAWUL – listing guidelines</li> <li>• Articles and Business Case Studies</li> <li>• MS office tools</li> </ul>

## 2. Facilities Required

Item	Resources
<b>Accommodation</b> (Classrooms, laboratories, demonstration rooms/labs, etc.)	Classroom.
<b>Technology Resources</b> (AV, data show, Smart Board, software, etc.)	Data Show + Smart boards
<b>Other Resources</b> (Specify, e.g. if specific laboratory equipment is required, list requirements or attach a list)	Internet access point.

## G. Course Quality Evaluation

Evaluation Areas/Issues	Evaluators	Evaluation Methods
End of semester Course Evaluation	Students	Indirect
Effectiveness of teaching and assessment	Peers	Indirect
Course learning outcomes assessment	Faculty	Direct
Quality of learning resources	Students	Indirect

**Evaluation areas** (e.g., Effectiveness of teaching and assessment, Extent of achievement of course learning outcomes, Quality of learning resources, etc.)

**Evaluators** (Students, Faculty, Program Leaders, Peer Reviewer, Others (specify))

**Assessment Methods** (Direct, Indirect)

## H. Specification Approval Data

<b>Council / Committee</b>	Finance Department
<b>Reference No.</b>	Meeting No.3 Second Semester 2021/2022
<b>Date</b>	17 <sup>th</sup> March, 2022

