



Course Specifications

Course Title:	ESSENTIALS OF INVESTMENT
Course Code:	FIN1321
Program:	FINANCE & BANKING
Department:	FINANCE & BANKING
College:	COLLEGE OF BUSINESS ADMINISTRATION
Institution:	DAR AL ULOOM UNIVERSITY

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A. Course Identification

1. Credit hours: 3
2. Course type
a. University <input type="checkbox"/> College <input type="checkbox"/> Department <input checked="" type="checkbox"/> Others <input type="checkbox"/>
b. Required <input checked="" type="checkbox"/> Elective <input type="checkbox"/>
3. Level/year at which this course is offered: Sixth / 3 rd year
4. Pre-requisites for this course (if any): FIN1311
5. Co-requisites for this course (if any): NIL

6. Mode of Instruction (mark all that apply)

No	Mode of Instruction	Contact Hours	Percentage
1	Traditional classroom	45	%100
2	Blended		
3	E-learning		
4	Distance learning		
5	Other		

7. Contact Hours (based on academic semester)

No	Activity	Contact Hours
1	Lecture	45
2	Laboratory/Studio	
3	Tutorial	
4	Others (specify)	
	Total	45

B. Course Objectives and Learning Outcomes

<p>1. Course Description</p> <p>The course is designed to introduce students to the investment environment and the application of financial theory to the issues and problems of investment management. The course will cover topics on portfolio optimization and asset allocation, diversification, immunization and risk management.</p>
<p>2. Course Main Objective</p> <ul style="list-style-type: none"> Briefly recall important historical trends in the innovation of markets, trading and financial instruments Define market microstructure and evaluate its importance to investors Explain the fundamental drivers of diversification as an investment strategy for investors Aptly define immunization strategies and highlight their main applications in detail

- Discuss measures of portfolio risk-adjusted performance in detail and critically analyse the key challenges in employing them
- Competently identify address key issues in risk management.

3. Course Learning Outcomes

CLOs		Aligned PLOs
1	Knowledge and Understanding	
1.1	Describe the investment environment, types of investment vehicles, trading mechanisms, allocation decisions and methods of valuation.	K1
1.2	Outline the benefit of diversification, risk management strategies and fund performance measurement.	K2
2	Skills :	
2.1	Compute the investment risk and return, execute margin trading, evaluate risk and arrive at strategic investment decisions	S2
2.2	Describe an efficient and optimal risky portfolio and identify fund performance measurement	S1
3	Values:	
3.1	Practice interpersonal communication in team tasks and exhibit team integrity.	V1
3.2	Show self-learning skills and adopt cognitive curiosity in solving problems independently.	V2

C. Course Content

No	List of Topics	Contact Hours
1	Financial markets and instruments	03
2	History of Financial markets	06
3	Active fund management and investment strategies	06
4	Market microstructure	06
5	Diversification	06
6	Fixed income securities and portfolio immunization	06
7	Risk and Performance Measurement	06
8	Risk Management	06
Total		45

D. Teaching and Assessment

1. Alignment of Course Learning Outcomes with Teaching Strategies and Assessment Methods

Code	Course Learning Outcomes	Teaching Strategies	Assessment Methods
1.0	Knowledge and Understanding		
1.1	Describe the investment environment, types of investment vehicles, trading mechanisms, allocation decisions and methods of valuation.	<ul style="list-style-type: none"> • Direct 	<ul style="list-style-type: none"> • Exams • Quizzes • Assignments
1.2	Outline the benefit of diversification, risk management strategies and fund performance measurement.	<ul style="list-style-type: none"> • Direct 	<ul style="list-style-type: none"> • Exams • Quizzes • Assignments
2.0	Skills		

Code	Course Learning Outcomes	Teaching Strategies	Assessment Methods
2.1	Compute the investment risk and return, execute margin trading, evaluate risk and arrive at strategic investment decisions	<ul style="list-style-type: none"> • Direct • Indirect 	<ul style="list-style-type: none"> • Exams • Quizzes • Assignments • Project
2.2	Describe an efficient and optimal risky portfolio and identify fund performance measurement	<ul style="list-style-type: none"> • Direct • Indirect 	<ul style="list-style-type: none"> • Exams • Quizzes • Assignments • Project
3.0	Values		
3.1	Practice interpersonal communication in team tasks and exhibit team integrity.	<ul style="list-style-type: none"> • Interactive 	<ul style="list-style-type: none"> • Assignment • Project
3.2	Show self-learning skills and adopt cognitive curiosity in solving problems independently.	<ul style="list-style-type: none"> • Interactive 	<ul style="list-style-type: none"> • Assignment • Project

2. Assessment Tasks for Students

#	Assessment task*	Week Due	Percentage of Total Assessment Score
1	Quiz	5, 12	10%
2	Case study/Project	Continuous	20%
3	Mid term	7	30%
4	Final Exam	15	40%

*Assessment task (i.e., written test, oral test, oral presentation, group project, essay, etc.)

E. Student Academic Counseling and Support

Arrangements for availability of faculty and teaching staff for individual student consultations and academic advice :

1. Eight Office hours weekly can be booked through the students' SIS account.
2. Online discussion through the LMS forums and instant messaging.
3. Instructor email available in the course syllabus.
4. Occasional mobile calls or SMS for urgent messages.

F. Learning Resources and Facilities

1. Learning Resources

Required Textbooks	Essentials of Investments 11th Edition, By Zvi Bodie and Alex Kane and Alan Marcus ISBN10: 260013928 ISBN13: 9781260013924
Essential References Materials	The Theory and Practice of Investment Management: Asset Allocation, Valuation, Portfolio Construction, and Strategies by Frank & Harry (2nd Edition) Annual Reports
Electronic Materials	Websites of various investment banks and mutual funds etc.

Other Learning Materials	
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2. Facilities Required

Item	Resources
Accommodation (Classrooms, laboratories, demonstration rooms/labs, etc.)	Classroom.
Technology Resources (AV, data show, Smart Board, software, etc.)	Data show + smart boards.
Other Resources (Specify, e.g. if specific laboratory equipment is required, list requirements or attach a list)	Internet access point.

G. Course Quality Evaluation

Evaluation Areas/Issues	Evaluators	Evaluation Methods
End of semester Course Evaluation.	Students	Indirect
Effectiveness of teaching and assessment.	Peer reviewer	Indirect
Course learning outcomes assessment.	Faculty members	Direct
Quality of learning resources	Students	Indirect

Evaluation areas (e.g., Effectiveness of teaching and assessment, Extent of achievement of course learning outcomes, Quality of learning resources, etc.)

Evaluators (Students, Faculty, Program Leaders, Peer Reviewer, Others (specify))

Assessment Methods (Direct, Indirect)

H. Specification Approval Data

Council / Committee	Finance Department
Reference No.	Meeting No.3 Second Semester 2021/2022
Date	17 th March, 2022

