



Course Specifications

Course Title:	FINANCIAL MARKETS AND INSTITUTIONS
Course Code:	FIN1312
Program:	Finance and Banking
Department:	FINANCE AND BANKING
College:	College of Business Administration
Institution:	Dar Al Uloom University

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7. Course Identification

1. Credit hours: 3 Hours
2. Course type
a. University <input type="checkbox"/> College <input type="checkbox"/> Department <input checked="" type="checkbox"/> Others <input type="checkbox"/>
b. Required <input checked="" type="checkbox"/> Elective <input type="checkbox"/>
3. Level/year at which this course is offered: Fifth / Third Year
4. Pre-requisites for this course (if any): FIN1221
5. Co-requisites for this course (if any): NIL

6. Mode of Instruction (mark all that apply)

No	Mode of Instruction	Contact Hours	Percentage
1	Traditional classroom	45	100%
2	Blended		
3	E-learning		
4	Correspondence		
5	Other		

7. Actual Learning Hours (based on academic semester)

No	Activity	Learning Hours
Contact Hours		
1	Lecture	45
2	Laboratory/Studio	
3	Tutorial	
4	Others (specify)	
	Total	45
Other Learning Hours*		
1	Study	1
2	Assignments	1
3	Library	
4	Projects/Research Essays/Theses	2
5	Others (specify)	
	Total	4

* The length of time that a learner takes to complete learning activities that lead to achievement of course learning outcomes, such as study time, homework assignments, projects, preparing presentations, library times

B. Course Objectives and Learning Outcomes

1. Course Description

This course provides an overview of financial markets, and management of financial institutions and intermediaries. This course also provides students with conceptual framework for analyzing the optimal management of varying types of risk exposure that financial institutions and intermediaries may face. Other topics covered include overview of financial markets, financial instruments, financial intermediaries and the financial services industry, measuring and managing risks practices in Saudi Arabia and internationally.

2. Course Main Objective

The main objective of the course is to provide adequate knowledge on the conceptual framework of financial markets and its players, tools for analyzing the optimal management of financial institutions, tools for measuring risk as well as the impact of the economic, political, legal and technological environment on the performance.

3. Course Learning Outcomes

CLOs		Aligned PLOs
1	Knowledge:	
1.1	Recall the structure, institutions and functioning of financial markets and institutions	K3
1.2	Describe the nature and functions of financial services intermediaries	K3
1.3	Define the types of risk in the financial markets.	K3
1.4	List the measures to manage risk in the financial markets.	K3
2	Skills:	
2.1	Critically evaluate the financial service industry in the domestic/international markets	S1
2.2	Calculate risk and interpret the degree of risk	S2
2.3	Explain the measures for managing risk in the financial markets.	S2
3	Competence:	
3.1	Illustrate team skills to work in groups for assignments and project.	C1
3.2	Show self-management skills and adopt ethical practices in completing the assessments.	C2
3.3	Demonstrate numerical excellence in solving problems and in use of financial data sources.	C4
3.4	Illustrate information technology skills in communication and ability in using financial applications (Microsoft Excel)	C3,C5

C. Course Content

No	List of Topics	Contact Hours
1	Financial Markets	6
2	Securities Market	9
3	Financial Intermediaries	9
4	Measuring Risk	12
5	Managing Risk	9
Total		45

D. Teaching and Assessment

1. Alignment of Course Learning Outcomes with Teaching Strategies and Assessment Methods

Code	Course Learning Outcomes	Teaching Strategies	Assessment Methods
1.0	Knowledge		
1.1	Recall the structure, institutions and functioning of financial markets and institutions	<ul style="list-style-type: none"> • DIRECT • INDIRECT 	<ul style="list-style-type: none"> • Written Exams • Written Quizzes • Assignments
1.2	Describe the nature and functions of financial services intermediaries		
1.3	Define the types of risk in the financial markets.		
1.4	List the measures to manage risk in the financial markets.		
2.0	Skills		
2.1	Critically evaluate the financial service industry in the domestic/international markets	<ul style="list-style-type: none"> • DIRECT • INDIRECT 	<ul style="list-style-type: none"> • Written Exams • Written Quizzes • Assignments • Project
2.2	Calculate risk and interpret the degree of risk		
2.3	Explain the measures for managing risk in the financial markets.		
3.0	Competence		
3.1	Illustrate team skills to work in groups for assignments and project.	<ul style="list-style-type: none"> • INTERACTIVE 	<ul style="list-style-type: none"> • Assignments • Project
3.2	Show self-management skills and adopt ethical practices in completing the assessments.		
3.3	Demonstrate numerical excellence in solving problems and in use of financial data sources.		
3.4	Illustrate information technology skills in communication and ability in using financial applications (Microsoft Excel)		

2. Assessment Tasks for Students

#	Assessment task*	Week Due	Percentage of Total Assessment Score
1	Quiz		10%
2	Homeworks/Assignments/Projects		20%
3	Mid term		30%
4	Final Exam		40%

*Assessment task (i.e., written test, oral test, oral presentation, group project, essay, etc.)

E. Student Academic Counseling and Support

Arrangements for availability of faculty and teaching staff for individual student consultations and academic advice:

1. Eight Office hours weekly can be booked through the students' SIS account.
2. Online discussion through the LMS forums and instant messaging.
3. Instructor email available in the course syllabus.
4. Occasional mobile calls or SMS for urgent messages.

F. Learning Resources and Facilities

1. Learning Resources

Required Textbooks	<ul style="list-style-type: none"> Sanders, A. and Cornett, M. M. (2014). “<i>Financial Institutions Management -A Risk Management Approach</i>” (8th Edition). McGraw-Hill/Irwin. ISBN: 0078034809
Essential References Materials	<ul style="list-style-type: none"> Asia- Pacific Financial Markets – Springer Journal of Financial Intermediation - Elsevier Research papers and Market Reports from ARGAM, TADAWUL SAMA Market Reports THE ECONOMIST Frederic S Miskhin, Stanley G. Eakins (2014). “Financial Markets and Institutions” (8th edition). Pearson.
Electronic Materials	<ul style="list-style-type: none"> Study materials are available through online resources available from the publisher OPEN UNIVERSITY www.open.edu/openlearn/ MIT COURSE WARE https://ocw.mit.edu/courses/sloan-school-of-management/ YAHOO FINANCE https://finance.yahoo.com/ MSN MONEY https://www.msn.com/en-sa/money BLOOMBERG https://www.bloomberg.com/..
Other Learning Materials	<ul style="list-style-type: none"> MS office tools SAMA , CMA regulatory guidelines on financial markets TADAWUL – listing guidelines

2. Facilities Required

Item	Resources
Accommodation (Classrooms, laboratories, demonstration rooms/labs, etc.)	Classroom.
Technology Resources (AV, data show, Smart Board, software, etc.)	Data show + smart boards.
Other Resources (Specify, e.g. if specific laboratory equipment is required, list requirements or attach a list)	Internet access point.

G. Course Quality Evaluation

Evaluation Areas/Issues	Evaluators	Evaluation Methods
End of semester Course Evaluation.	<ul style="list-style-type: none"> Students 	<ul style="list-style-type: none"> Indirect

Evaluation Areas/Issues	Evaluators	Evaluation Methods
Effectiveness of teaching and assessment.	<ul style="list-style-type: none"> • Peer reviewer 	<ul style="list-style-type: none"> • Indirect
Course learning outcomes assessment.	<ul style="list-style-type: none"> • Faculty members 	<ul style="list-style-type: none"> • Direct
Quality of learning resources	<ul style="list-style-type: none"> • Students 	<ul style="list-style-type: none"> • Indirect

Evaluation areas (e.g., Effectiveness of teaching and assessment, Extent of achievement of course learning outcomes, Quality of learning resources, etc.)

Evaluators (Students, Faculty, Program Leaders, Peer Reviewer, Others (specify))

Assessment Methods (Direct, Indirect)

H. Specification Approval Data

Council / Committee	
Reference No.	
Date	